

Docket No. 217199US0/sdc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Daisuke SHIIBA, et al.

SERIAL NO: 10/014,356

GAU: 1761

FILED: December 14, 2001

EXAMINER:

FOR: ACIDIC OIL-IN-WATER TYPE EMULSION COMPOSITION

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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DOCKET NO: 217199US0

Sheet 1 of 1

SERIAL NO: 10/014,356

Group Art Unit: 1761

STATEMENT OF RELEVANCY

References AO through AS on Form 1449:

These references are discussed in the specification.

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 217199US0 | | SERIAL NO. 10/014,356 | |
|--|----|--|------------|---|-----------------------|--------------------------|-------------------------------|
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Daisuke SHIIBA, et al. | | | |
| | | | | FILING DATE December 14, 2001 | | GROUP 1761 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | 6,337,414 | 01/08/2002 | M. SUGIURA, et al. | | | |
| | AB | 5,160,759 | 11/03/92 | M. NOMURA, et al. | | | |
| | AC | 6,004,611 | 12/21/99 | N. GOTOH, et al. | | | |
| | AD | | | | | | |
| | AE | | | | | | |
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| | AN | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| | AO | 4-300828 | 10/23/92 | JAPAN (with English Abstract) | | | X |
| | AP | 3-8431 | 01/16/91 | JAPAN (with English Abstract and corr. US 5,160,759) | | | X |
| | AQ | 4-79858 | 03/13/92 | JAPAN (with English Abstract) | | | X |
| | AR | 10-176181 | 06/30/98 | JAPAN (with English Abstract and corr. US 6,004,611) | | | X |
| | AS | 3-91451 | 04/17/91 | JAPAN (with English Abstract) | | | X |
| | AT | WO 01/15542 | 03/08/2001 | WIPO | | | |
| | AU | WO 01/01787 | 01/11/2001 | WIPO | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | <div style="transform: rotate(-45deg);"> RECEIVED MAR 20 2002 TC 1700 </div> | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | | |
| Examiner | | | | | Date Considered | | |

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.